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Attorney's Docket No. 702-002214

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AMENDMENT TRANSMITTAL LETTER

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USPTO Technology Center 1600, Reception Area, 7th Floor
Sequence Information
Crystal Mall One, 1911 South Clark Street
Arlington, VA 22202

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Serial No.: 09/720,278 Filing Date: May 24, 2001
Examiner: Not Yet Assigned Group Art Unit: 1614
Invention: "Pharmaceutical Preparations For Use in Combatting or Preventing Surface Infections
Caused by Microorganisms"

Transmitted herewith is an Amendment in the above-identified application.

- ___ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
___ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
X No additional fee is required.
___ The fee has been calculated as shown below:

	No. of Claims After Amendment	Highest No. Previously Paid For	Present Extra	Small Entity Rate	Non-Small Entity Rate	Charge
Total	16	20	-0- X	\$ 9.00	X \$ 18.00	\$ -0-
Indep.	3	3	-0- X	\$ 42.00	X \$ 84.00	\$ -0-
First Presentation of Multiple Dep. Claim				+ \$140.00	+ \$280.00	\$ -0-
				Total Additional Fee		\$ -0-

- ___ A check in the amount of \$_____ is enclosed to cover the filing fee.
___ A check in the amount of \$__ is enclosed for a __ month Petition for Extension of Time.
X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650. An original and two copies of this sheet are enclosed.
X Any additional filing fees required under 37 CFR 1.16.
X Any patent application processing fees under 37 CFR 1.17.

January 8, 2003

Date

By

Barbara E. Johnson
Registration No. 31,198
Attorney for Applicants
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219-1818
Telephone: (412) 471-8815
Facsimile: (412) 471-4094
E-mail: webblaw@webblaw.com



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/720,278	Pieter Jacob Swart	702-002214

INTERNATIONAL APPLICATION NO.

PCT/EP99/04067

I.A. FILING DATE

06/28/1999

PRIORITY DATE

06/26/1998

Russell D Orkin
 700 Koppers Building
 436 Seventh Avenue
 Pittsburgh, PA 15219-1818

CONFIRMATION NO. 9397

371 FORMALITIES LETTER



OC000000009279679

Date Mailed: 12/23/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Information Disclosure Statements
- Initial Application Filing Fees
- Oath or Declaration
- Oath or Declaration
- Original Specification
- Preliminary Amendments

Applicant's response filed 05/24/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/30/2001 have not been completed.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
 - See attached explanation.
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/720,278	PCT/EP99/04067	702-002214

#12
PATENT APPLICATION
Serial No. 09/720,278
Atty. Docket No. 702-002214
Confirmation No. 9397

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 1614 :
In re application of :
Pieter Jacob SWART et al. :
Serial No. 09/720,278 :
Filed May 24, 2001 :
Examiner Not Yet Assigned :
PHARMACEUTICAL PREPARATIONS
FOR USE IN COMBATting OR
PREVENTING SURFACE INFECTIONS
CAUSED BY MICROORGANISMS
Pittsburgh, Pennsylvania
January 8, 2003

SEQUENCE AMENDMENT

HAND DELIVERED

United States Patent and Trademark Office
Technology Center 1600, Reception Area, 7th Floor
Sequence Information
Crystal Mall One, 1911 South Clark Street
Arlington, VA 22202

Sir:

In response to the "Notification of Defective Response" mailed December 23, 2002 pursuant to the "Notification to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" mailed March 30, 2001, pursuant to 37 C.F.R. § 1.821 *et seq.*, Applicants submit the following Sequence Listing and corresponding computer readable form (CRF) for insertion into the specification. Applicants also submit the following amendments and remarks.

IN THE SPECIFICATION

Please delete the current version of the Sequence Listing and replace it with the attached Sequence Listing for the above-identified patent application.

{W0037043.1}